

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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03 MAY 2005  
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In re the Application of: Hiromitsu NAKAYAMA et al.

Serial No.: 10/509,385

Filed: September 27, 2004

Confirmation No.: 5081

For: THERMOCROSSLINKABLE RESIN DISPERSION

Attorney Docket Number: 042748

Customer Number: 38834

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: May 3, 2005

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

Cite No. 4 is a priority document of Cite No. 1.

Cite No. 5 corresponds to Cite No. 2.

Cite No. 6 is a priority document of Cite No. 3.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

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SGA/II

Enclosure: PTO/SB/08 and 4 Documents

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Col. ☐ if Known

Application Number	10/509,385
Confirmation Number	5081
Filing Date	September 27, 2004
First Named Inventor	Hiromitsu NAKAYAMA
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	042748

Sheet

of

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
	1	US 6,344,500		02-05-2002	Ogawa et al.
	2	US 6,277,912		08-21-2001	Ashihara et al.
	3	US 5,767,188		06-16-1998	Kamikuri et al.
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	4	JP	2001-139875		05-22-2001	Honda Giken Kogyo Kabushiki KA	Abstract
	5	EPO	0 874 031 A1		10-28-1998	Toyo Kasei Kogyo Co., Ltd.	
	6	JP	6-336568		12-06-1994	BASF Lacke & Farben	Abstract
	7	JP	11-29673		02-02-1999	Mitsui Chem. Inc.	Yes

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.